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Tattoo Recognition Technology

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8 **Tattoo Recognition Technology - Challenge (Tatt-C)**
9 **Dataset, Concept, and Evaluation Plan**
10 **Version 1.2**

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16 **Timeline of the Tatt-C**

Phase	Date	Milestone
Announcement	2014-07-24	Website up, announce activity
Participation Period	2014-09-23	Tatt-C participation window opens; Dataset available for participants
	2015-02-06	Deadline for submission of Phase 1 results from participants
	~~2015-04-17~~ 2015-05-04	Deadline for submission of Phase 2 results from participants; Tatt-C participation window closes
Workshop	~~2015-05-04~~ 2015-06-01	Deadline for registration to attend Tatt-C workshop; Deadline for participant registration to present at Tatt-C workshop
	2015-06-08	Tatt-C workshop at NIST

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20 **Acknowledgements**

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28 **Contact Information**

29 Email: tattoo@nist.gov

30 Tatt-C Website: http://www.nist.gov/itl/iad/ig/tatt-c.cfm

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33 **Note:** Updates new to this version of the document are highlighted in cyan.

Table of Contents

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1. Background

Tattoos have been used for many years to assist law enforcement in the identification of criminals and victims and for investigative research purposes. Tattoos provide valuable information on an individual's affiliations or beliefs and can support identity verification of an individual. Historically, law enforcement agencies have followed the ANSI/NIST-ITL 1-2011[1] standard to collect and assign keyword labels to tattoos. This keyword labeling approach comes with drawbacks, which include the limitation of ANSI/NIST standard class labels to describe the increasing variety of new tattoo designs, the need for multiple keywords to sufficiently describe some tattoos, and subjectivity in human annotation as the same tattoo can be labeled differently between examiners. As such, the shortcomings of keyword-based tattoo image retrieval have driven the need for automated image-based tattoo recognition capabilities.

2. Scope

The Tattoo Recognition Technology - Challenge (Tatt-C) is a challenge to academic and commercial developers to advance automated image-based tattoo matching technology. The activity will drive and assess the capability of image-based tattoo recognition methods to detect and retrieve tattoos, with the goals to determine which are most effective and whether they are viable for the following operational use-cases:
- Tattoo Similarity - matching visually similar or related tattoos from different subjects
- Tattoo Identification - matching different instances of the same tattoo image from the same subject over time
- Region of Interest - matching a small region of interest that is contained in a larger image
- Mixed Media - matching visually similar or related tattoos using different types of images (e.g., sketches, scanned print, computer graphics, or natural images)
- Tattoo Detection - detecting whether an image contains a tattoo or not

This document establishes the protocol that participants should follow for the Tatt-C activity, which includes detailed information regarding the dataset, the challenges and the output format for self-reporting back to NIST, and accuracy metrics used to assess performance. Any questions or clarifications regarding this document should be sent to tattoo@nist.gov.

3. Audience

Universities and commercial entities with capabilities in detection and/or matching of tattoos or other unconstrained images are invited to participate in the Tatt-C challenge. Organizations will need to follow the protocol detailed in this document. Participation is open worldwide. There is no charge for participation.

4. Procedures

This section outlines the steps that should be followed by Tatt-C participants. Please feel free to contact NIST at tattoo@nist.gov with inquiries regarding Tatt-C.

OBTAIN THE DATASET

- Fill out the Tatt-C Data Request Form available from the Tatt-C website: http://www.nist.gov/itl/iad/ig/tatt-c.cfm and email it to tattc_dataset@nist.gov.
- After receipt of the request form, the submitter will receive, via email, a data release document that will need to be signed and further instructions on obtaining the dataset will be provided.

LOCATE DATA FOR EACH TEST CASE

- The Tatt-C distribution has the data split out by use case, that is, into the following folders - **tattoo_similarity/**, **tattoo_identification/**, **region_of_interest/**, **mixed_media/**, and **tattoo_detection/**.

~~**LOCATE TRAINING DATA FOR EACH TEST CASE**~~

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[1] The latest version of the ANSI/NIST-ITL 1-2011 standard is available at http://www.nist.gov/itl/iad/ig/ansi_standard.cfm.

123   • In each of the following folders – **tattoo_similarity/**, **tattoo_identification/**, **region_of_interest/**, **mixed_media/**,
124   and **tattoo_detection/**, there is a **training/** folder that contains images that can be used for algorithm training
125   purposes, or however the developer sees fit.

126   • The layout and contents of the **training/** folder is detailed in Section 6.1.

127 **LOCATE TEST DATA FOR EACH TEST CASE**

128   • In each of the following folders – **tattoo_similarity/**, **tattoo_identification/**, **region_of_interest/**, **mixed_media/**,
129   and **tattoo_detection/**, there is a **test/** folder that contains images that shall be used for testing. Test images
130   should be reserved for testing and should not be used for training.

131   • The layout and contents of the **test/** folder is detailed in Section 6.2.

132 **RUN ALGORITHM ON TEST CASES**

133   • Section 7 specifies the test protocol and list of test cases and for each test case, the images and actions required
134   to generate an output file in the specified format (i.e. a Candidate List or Classification List). Output files shall be
135   named according to the naming convention specified in Section 8.4.

136 **SUBMIT RESULTS AND COMMENTS TO NIST FOR PHASE 1**

137   • Participants should send their results in the form of Candidate Lists and/or Classification Lists for Phase 1 to NIST
138   by February 6, 2015. NIST will engage interested participants in discussions to help support and progress
139   development in Phase 2 of the challenge.

140   • Participants can either email the files to tattoo@nist.gov or put the files onto electronic media (e.g., CD, USB
141   drive) and mail it to NIST. NIST's mailing address is provided in Section 11.

142 **RUN ALGORITHM ON TEST CASES (CONTINUED)**

143   • Per the guidelines for participation in Section 5, participants may choose to work on and submit results for
144   different test cases during the different phases of the challenge.   Or, developers may choose to work on the
145   same test cases and submit initial results in Phase 1 and final results in Phase 2. Participants are encouraged to
146   develop and run their algorithms on all test cases.

147 **SUBMIT RESULTS TO NIST FOR PHASE 2**

148   • Participants should send their results in the form of Candidate Lists and/or Classification Lists for Phase 2 to NIST
149   by April 17, 2015 May 4, 2015.

150 **REGISTER FOR THE TATT-C WORKSHOP**

151   • Instructions for registering for the Tatt-C workshop will be posted on the Tatt-C website at
152   http://www.nist.gov/itl/iad/ig/tatt-c.cfm early Spring 2015. Participants are encouraged to attend the workshop
153   and present their findings, lessons learned, or any topic of interest related to the Tatt-C Challenge. Participants
154   will receive more information regarding the workshop as it becomes available. Proceedings of the workshop will
155   be posted online after the workshop is held.

156 **ATTEND AND PRESENT AT TATT-C WORKSHOP**

157   • The Tatt-C workshop is a culminating meeting hosted at NIST where participants are given the opportunity to
158   present – topics such as performance, tattoo retrieval success/failure conditions, data properties, interest in
159   tattoo evaluation on sequestered data, next steps, etc. could be discussion points. The sponsors will also address
160   the utility of image-based tattoo detection and matching in operational scenarios.

161 # 5. Guidelines for participation

162 The following guidelines apply:

163   • A participant must properly submit a data request and sign a data release agreement to obtain the dataset (see
164   Section 4).

165   • Participants are not required to submit results for all test cases (see Table 5 for the list of test cases), but are
166   highly encouraged to develop and run their algorithms on all test cases.

167   • Participants are required to submit results for Phase 1. Phase 2 submissions are optional, but participants are
168   encouraged to submit results for both phases of the challenge.

169   • Participants should communicate progress and submit results for Phase 1 to NIST by February 6, 2015.

170   • Participants should submit Phase 2 results back to NIST by April 17, 2015 May 4, 2015.

171   • There is no requirement for Phase 1 results to be for the same test cases as what is submitted for Phase 2.
172   Participants may choose to work on different test cases between Phase 1 and 2. Or participants may choose to
173   submit initial results for test cases in Phase 1 and submit final results for the same test cases in Phase 2.

6. Tatt-C Dataset

175   The dataset includes partitions that are representative of operational use cases for tattoo detection and matching.

176   **Table 1 – Use Cases supported under Tatt-C**

	Tattoo Similarity	Tattoo Identification	Region of Interest	Mixed Media	Tattoo Detection
Use case	Match visually similar or related tattoos from different subjects	Match different instances of the same tattoo from the same subject over time	Match small region of interest contained in a larger tattoo	Match visually similar or related tattoos across different mediums	Detect whether an image contains a tattoo
Utility Example	Group Affiliation	Person Identification	Person Identification	Intelligence Gathering	Database construction and maintenance
Task	One-to-many search	One-to-many search	One-to-many search	One-to-many search	Classification
Types of images	Tattoos	Tattoos	Tattoos	Tattoos, sketches, computer graphics, graffiti	Tattoos, faces[2]
Folder name	tattoo_similarity	tattoo_identification	region_of_interest	mixed_media	tattoo_detection
Total number of images	2212	372	454	454	2349
Compression	JPEG, quality on [50, 100]				
File size	Min: 0.8 kilobytes; Max: 2.7 megabytes				
Training					
Number of training images	762	155	137	149	900
Test					
Gallery sizes	714; 714+4332(background)	109; 109+4332(background)	109; 109+4332(background)	184; 184+4332(background)	N/A
Number of probes	737	109	208	120	1449

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[2] Historically, scars, marks, and tattoo (SMT) images collected by law enforcement are stored in the ANSI/NIST-ITL 1-2011 Type 10 record. The Type 10 record is also used to store facial mug shot images, and as a result, face and tattoo images are often comingled, with a percentage of the data mislabeled or not labeled, making automated extraction of face versus tattoo data a challenge. Face images in the dataset were extracted from the public NIST Special Database 32 - Multiple Encounter Database (MEDS), available at: http://www.nist.gov/itl/iad/ig/sd32.cfm.

177 In the data distribution, there is a folder for each use case that contains training and test images along with ground truth
178 and metadata files. The following sections describe the contents of each folder in more detail.

179 Unless otherwise specified, all text files with multiple fields are pipe (i.e. |) delimited.

180 Note: Per the updated testing protocol detailed in this document, the test vs training folder naming hierarchy w/in each
181 use case folder should be ignored as all of the images have been merged and split into 5 subsets.

6.1 Training

183 For each use case, there is a **training/** folder that contains the following content:

184 **Table 2 – Training folder content**

Folder or File	Content	Notes
images/	Contains ~~all of the training~~ images	There are some use cases that will have an **orig/** folder and a **cropped/** folder. The **orig/** folder contains the original image from collection. The **cropped/** folder contains cropped versions of the images based on the bounding box coordinates around the tattoo content provided in the metadata.txt file (See Section 6.3). For images where the bounding box coordinates were not available, the original image size was used.
metadata.txt	Metadata for all images (see 6.3 for file format)	
ground_truth.txt	Ground truth information for the ~~training~~ images (see Section 6.4 for file formats)	

6.2 Test

186 For each use case, there is a **test/** folder that contains the following content:

187 **Table 3 – Test folder content**

Folder or File	Content	Notes
images/	Contains ~~all of the test~~ images	There are some use cases that will have an **orig/** folder and a **cropped/** folder. The **orig/** folder contains the original image from collection. The **cropped/** folder contains cropped versions of the images based on the bounding box coordinates around the tattoo content provided in the metadata.txt file (See Section 6.3). For images where the bounding box coordinates were not available, the original image size was used.
metadata.txt	Metadata for all ~~test~~ images (see Section 6.3 for more detail)	
ground_truth.txt	Ground truth information for the ~~test~~ images (see Section 6.4 for file formats)	
probes_*.txt	One or more files containing probe images to test	Each probe file will support one or more test cases (see Section 7 for test cases)
gallery_*.txt	One or more files containing gallery images to enroll	Each gallery file will support one or more test cases (see Section 7 for test cases). The tattoo_detection test folder does not contain any gallery files as the test case represents a classification task that doesn't require enrollment of images.

6.3 Metadata

189 Within each **training/** and **test/** folder, there is a metadata.txt file that contains image names and any corresponding
190 metadata (if available) in the format specified in Table 4. A number of the fields are derived from the Type 10 record of
191 the ANSI/NIST-ITL 1-2011 standard.

192 **Table 4 – Metadata**

Field Name	Description	Notes
img_name	Name of the image	
ansi_nist_class	ANSI/NIST-ITL 1-2011 Type 10 Tattoo class and subclass codes	This field contains the general class code and subclass code chosen from the 8 class codes and 70 subclass codes specified in the ANSI/NIST-ITL 1-2011 standard. See Appendix A.1 for the class and subclass codes.
description	ANSI/NIST-ITL 1-2011 Type 10 Tattoo description	This is a free-text field that provides additional qualifiers to describe the image.
color	ANSI/NIST-ITL 1-2011 Type 10 Tattoo color	This field specifies the color(s) of the tattoo as specified by the ANSI/NIST-ITL 1-2011 standard. See Appendix A.2 for the list of color codes.
body_location	ANSI/NIST-ITL 1-2011 Type 10 NCIC SMT code for body location	This field specifies a general location of the tattoo as specified by the ANSI/NIST-ITL 1-2011 standard, referencing the National Crime Information Center (NCIC) SMT Body Location Codes. See Appendix B.1 for the list of body location codes.
rect_coordinates(x,y,width,height)	Coordinates for bounding box drawn around tattoo content	The format of the bounding box coordinates in the metadata file is x, y, width, height.
orientation	Orientation specification of the tattoo image	This is based on a 360 degree scale, with true north=0 degrees. For example, orientation=30 means the tattoo is rotated 30 degrees clockwise.

193
194 Please note that not all images have metadata information available.

6.4   Ground Truth

196 Within each **training/** and **test/** folder, there is a ground_truth.txt file that contains the mapping between relevant images
197 that should be correctly matched in a one-to-many search or, for the tattoo detection use case, whether the image
198 contains a tattoo or not. The file will be in one of the following formats.

6.4.1   Probe and Gallery Format

200 This file format contains the ground truth mapping between the probe images and their matching gallery images. There is
201 one probe and gallery image pair per line. There can be more than one matching gallery image per probe image; in those
202 cases, the same probe image with a different gallery name is listed on a separate line.

6.4.2   Group Format

204 This file format contains the mapping between images and their relevant groups. For example, all images in group 1 are
205 considered relevant to each other. For training, developers are free to cluster and organize (e.g., create their own
206 training probe and gallery sets) the training images however they see fit, but developers shall not use any of the test
207 images for training.

6.5   Background Images

209 The **background/** folder contains 4332 images that will be used for adding to the enrollment gallery for various test cases.

7.   Testing

7.1   Protocol

212 To generate performance results, participants should follow a 5-fold cross validation scheme with the splits provided by
213 the distribution update downloadable from http://nigos.nist.gov:8080/tatt-c/tatt-c_update_v1.1.tar.gz. For each use
214 case, the **former** test and training images specified in the previous version (v1.0) of this document have been merged and
215 randomly split into 5 subsets. The images in each subset are mutually exclusive, so there are no overlapping images
216 between the subsets. Using this split, performance results should be produced using 5-fold cross validation. That is, for
217 each test case specified in Table 5, participants should conduct 5 separate experiments in a leave-one-out cross validation
218 scheme. In each experiment, 4 of the subsets should be combined to form a training set, with the 5th subset used for
219 testing. For example, the first experiment would use subsets (2, 3, 4, 5) for training and subset 1 for testing. The 4th

220 experiment would use subsets (1, 2, 3, 5) for training and subset 4 for testing. Participants should submit the output of all
221 5 experiments to NIST. The output format is specified in Table 9.
222
223 Algorithm parameters under each experiment should be set using only the training data for that experiment to avoid
224 fitting to the test data. In other words, each of the 5 experiments (both the training and test phases) should be run
225 completely independently of the others.
226

7.2 Outside Training Data

228 The use of data outside of the Tatt-C dataset for algorithm development/training is allowed. Participants are required to
229 disclose whether outside training data was used when they submit their results to NIST.

7.3 Test Cases

231 The following table specifies the test cases to be executed. The expected output format is given in Section 0. For test
232 cases where "no metadata utilization" is specified, participants shall not utilize metadata.txt to support their algorithm.
233 For test cases that specify that image metadata usage is allowed, developers may use the metadata however they see fit
234 to support their algorithms. Note: For use cases that have both original and cropped versions of the images, they will be
235 located under the images/orig and images/cropped folders respectively. For use cases that do not have cropped versions
236 of the images, the original images will just be located under the images/ folder.

237 **Table 5 – Test Cases**

Number	Test case	Images	1. Enrollment	2. Search/Classification	3. Output
SIM-1	Tattoo Similarity - original images, small gallery, no metadata utilization	Original versions of probe and gallery images	For each fold, enroll images listed in tattoo_similarity/gallery{n}.txt	For each fold, search on images listed in tattoo_similarity/probes{n}.txt	Candidate List (see Section 8.1)
SIM-2	Tattoo Similarity - original images, larger gallery, no metadata utilization	Original versions of probe and gallery images + original versions of background images	For each fold, enroll images listed in tattoo_similarity/gallery{n}.txt PLUS background/bg.txt.	For each fold, search on images listed in tattoo_similarity/probes{n}.txt	
SIM-1-CR	Tattoo Similarity - cropped probe and gallery images, small gallery, no metadata utilization	Cropped versions of probe and gallery images	For each fold, enroll images listed in tattoo_similarity/gallery{n}.txt	For each fold, search on images listed in tattoo_similarity/probes{n}.txt	
SIM-2-CR	Tattoo Similarity – cropped probe and gallery images, larger gallery, no metadata utilization	Cropped versions of probe and gallery images + cropped versions of background images	For each fold, enroll images listed in tattoo_similarity/gallery{n}.txt PLUS background/bg.txt.	For each fold, search on images listed in tattoo_similarity/probes{n}.txt	
SIM-1-CR-PROBES	Tattoo Similarity - cropped probe images, small gallery, no metadata utilization	Cropped versions of probe images and original versions of the gallery images	For each fold, enroll images listed in tattoo_similarity/gallery{n}.txt	For each fold, search on images listed in tattoo_similarity/probes{n}.txt	
SIM-2-CR-PROBES	Tattoo Similarity – cropped probe images, larger gallery, no metadata utilization	Cropped versions of probe images, original versions of the gallery images + original versions of background images	For each fold, enroll images listed in tattoo_similarity/gallery{n}.txt PLUS background/bg.txt.	For each fold, search on images listed in tattoo_similarity/probes{n}.txt	
ID-1	Tattoo Identification - small gallery, no metadata utilization	Original versions of probe and gallery images	For each fold, enroll images listed in tattoo_identification/gallery{n}.tx	For each fold, search on images listed in tattoo_identification/probes{n}	

			t	.txt	
ID-2	Tattoo Identification - larger gallery, no metadata utilization	Original versions of probe and gallery images + cropped versions of background images	For each fold, enroll images listed in tattoo_identification/gallery{n}.txt PLUS background/bg.txt.	For each fold, search on images listed in tattoo_identification/probes{n}.txt	
ROI-1	Region of Interest - small gallery, no metadata utilization	Original versions of probe and gallery images	For each fold, enroll images listed in region_of_interest/gallery{n}.txt	For each fold, search on images listed in region_of_interest/probes{n}.txt	
ROI-2	Region of Interest - larger gallery, no metadata utilization	Original versions of probe and gallery images + cropped versions of background images	For each fold, enroll images listed in region_of_interest/gallery{n}.txt PLUS background/bg.txt.	For each fold, search on images listed in region_of_interest/probes{n}.txt	
MM-1	Mixed Media - small gallery, no metadata utilization	Original versions of probe and gallery images	For each fold, enroll images listed in mixed_media/gallery{n}.txt	For each fold, search on images listed in mixed_media/probes{n}.txt	
MM-2	Mixed Media - larger gallery, no metadata utilization	Original versions of probe and gallery images + original versions of background images	For each fold, enroll images listed in mixed_media/gallery{n}.txt PLUS background/bg.txt.	For each fold, search on images listed in mixed_media/probes{n}.txt	
DET-1	Tattoo Detection, no metadata utilization			For each fold, classify whether images contain a tattoo or not for all images in tattoo_detection/probes{n}.txt	Classification List (see Section 8.2)
SIM-1-META	Same as SIM-1 plus the use of any available image metadata from metadata.txt is allowed.				
SIM-2-META	Same as SIM-2 plus the use of any available image metadata from metadata.txt is allowed.				
SIM-1-CR-META	Same as SIM-1-CR plus the use of any available image metadata from metadata.txt is allowed.				
SIM-2-CR-META	Same as SIM-2-CR plus the use of any available image metadata from metadata.txt is allowed.				
SIM-1-CR-PROBES-META	Same as SIM-1-CR-PROBES plus the use of any available image metadata from metadata.txt is allowed.				
SIM-2-CR-PROBES-META	Same as SIM-2-CR-PROBES plus the use of any available image metadata from metadata.txt is allowed.				
ID-1-META	Same as ID-1 plus the use of any available image metadata from metadata.txt is allowed.				
ID-2-META	Same as ID-2 plus the use of any available image metadata from metadata.txt is allowed.				
ROI-1-META	Same as ROI-1 plus the use of any available image metadata from metadata.txt is allowed.				
ROI-2-META	Same as ROI-2 plus the use of any available image metadata from metadata.txt is allowed.				
MM-1-META	Same as MM-1 plus the use of any available image metadata from metadata.txt is allowed.				
MM-2-META	Same as MM-2 plus the use of any available image metadata from metadata.txt is allowed.				

238 # 8. Output of Results

239 This section describes the fields and format of the output files. Samples of the output files in the specified formats are
240 available in the **sample_output/** folder. ==Note: The format of the output files has been updated. Please see== Table 9.==

241 ## 8.1 Candidate List

242 All searches shall return a candidate list of the entire length of the enrollment gallery[3]. See Table 5 for more detail on the
243 gallery sizes for each test case. The list shall be sorted with the most similar matching entry listed first with lowest rank.
244 The fields shall be pipe (i.e. |) delimited. The format of the candidate list is specified in Table 6.

245   **Table 6 – Candidate List Format**

Field name	probe	rank	gallery	similarity_score
Datatype	String	Unsigned Integer	String	Unsigned Integer or Float
Description	Name of the probe image	Rank number	Name of the matching gallery image	Measure of similarity between the probe image and the enrolled gallery image. Higher scores denote higher likelihood of similarity.
Example lines of a candidate list up to rank N, for R probes, appear to the right. A complete file will contain NxR lines (excluding the header line). In the event an algorithm fails to process P number of probe images, the file will contain (R-P) x N lines. In the event an algorithm fails to process G number of gallery images, the file will contain R x (N-G) lines.	probe_001.jpg	1	gallery_005.jpg	16383
	probe_001.jpg	2	gallery_007.jpg	9798
	probe_001.jpg	3	gallery_001.jpg	892
	…			
	probe_001.jpg	N	gallery_090.jpg	0
	probe_002.jpg	1	gallery_050.jpg	16111
	probe_002.jpg	2	gallery_061.jpg	12890
	probe_002.jpg	3	gallery_100.jpg	6777
	…			
	probe_002.jpg	N	gallery_062.jpg	0
	…			
	…			
	probe_R.jpg	1	gallery_062.jpg	15000
	…			
	probe_R.jpg	N	gallery_001.jpg	0

246 ## 8.2 Classification List

247 All classification tasks shall return a classification list. The fields shall be pipe (i.e. |) delimited. The format of the
248 classification list is specified in Table 7.

249   **Table 7 – Classification List Format**

Field name	img_name	classification	confidence
Datatype	String	Unsigned Integer	Float
Description	Name of the image	Classification of whether a tattoo was detected in the image or not. Valid values are: 1: A tattoo was detected in the image 0: A tattoo was not detected in the image	A real-valued measure of tattoo detection confidence on [0,1]. A value of 1 indicates certainty that the image contains a tattoo, and a value of 0 indicates certainty that the image does not contain a tattoo.
Example lines of a classification list for R	img_0001.jpg	1	.9000
	Img_0002.jpg	1	.7812

---

[3] If an algorithm natively finds only similar matches and does not produce full length candidate lists, developers should nevertheless populate the remainder of the candidate list, up to rank N, with **gallery="NA"** for unlisted gallery images and **similarity_score="0"**.

images appear to the right. Lines 1, 2, 3 and R appear.	img_0003.jpg	0	.0044
	...		
	img_R.jpg	1	1

8.3   Errors

Algorithms may fail to process input images for a number of reasons. For example, the image may be assessed to have insufficient quality from which to extract features. In the event an algorithm fails to process an image, the event shall be logged in an error log in the format specified in Table 8. The fields shall be pipe (i.e. |) delimited.

Table 8 – Error log format

Field name	img_name	description
Datatype	String	String
Description	Name of the image	Free-text description of error
Example lines of an error log	gallery_059.jpg	Unable to extract features
	...	

8.4   File names

The output files for the various test scenarios shall be named according to what is specified in Table 9. The output files should be placed in a folder hierarchy specified as **<organization name>/<phase number>/<algorithm number>/** (e.g., MITRE/phase1/alg1/*.candidate_lists) and archived with a utility such as tar or zip prior to submission to NIST.

Table 9 – Output file names

Number	Test case	Output file name, where {n} is the nth fold of the 5-fold cross validation	Error log name
SIM-1	Tattoo Similarity - original images, small gallery, no metadata utilization	SIM-1_{n}.candidate_lists	SIM-1_{n}.error_log
SIM-2	Tattoo Similarity - original images, larger gallery, no metadata utilization	SIM-2_{n}.candidate_lists	SIM-2_{n}.error_log
SIM-1-CR	Tattoo Similarity - cropped probe and gallery images, small gallery, no metadata utilization	SIM-1-CR_{n}.candidate_lists	SIM-1-CR_{n}.error_log
SIM-2-CR	Tattoo Similarity - cropped probe and gallery images, larger gallery, no metadata utilization	SIM-2-CR_{n}.candidate_lists	SIM-2-CR_{n}.error_log
SIM-1-CR-PROBES	Tattoo Similarity - cropped probe images, small gallery, no metadata utilization	SIM-1-CR-PROBES_{n}.candidate_lists	SIM-1-CR-PROBES_{n}.error_log
SIM-2-CR-PROBES	Tattoo Similarity - cropped probe images, larger gallery, no metadata utilization	SIM-2-CR-PROBES_{n}.candidate_lists	SIM-2-CR-PROBES_{n}.error_log
ID-1	Tattoo Identification - small gallery, no metadata utilization	ID-1_{n}.candidate_lists	ID-1_{n}.error_log
ID-2	Tattoo Identification - larger gallery, no metadata utilization	ID-2_{n}.candidate_lists	ID-2_{n}.error_log
ROI-1	Region of Interest - small gallery, no metadata utilization	ROI-1_{n}.candidate_lists	ROI-1_{n}.error_log
ROI-2	Region of Interest - larger gallery, no metadata utilization	ROI-2_{n}.candidate_lists	ROI-2_{n}.error_log
MM-1	Mixed Media - small gallery, no metadata utilization	MM-1_{n}.candidate_lists	MM-1_{n}.error_log
MM-2	Mixed Media - larger gallery, no metadata utilization	MM-2_{n}.candidate_lists	MM-2_{n}.error_log
DET-1	Tattoo Detection, no metadata utilization	DET-1_{n}.classification_lists	DET-1_{n}.error_log

SIM-1-META	Tattoo Similarity - original images, small gallery, with metadata	SIM-1-META_{n}.candidate_lists	SIM-1-META_{n}.error_log
SIM-2-META	Tattoo Similarity - original images, larger gallery, with metadata	SIM-2-META_{n}.candidate_lists	SIM-2-META_{n}.error_log
SIM-1-CR-META	Tattoo Similarity - cropped probe and gallery images, small gallery, with metadata	SIM-1-CR-META_{n}.candidate_lists	SIM-1-CR-META_{n}.error_log
SIM-2-CR-META	Tattoo Similarity – cropped probe and gallery images, larger gallery, with metadata	SIM-2-CR-META_{n}.candidate_lists	SIM-2-CR-META_{n}.error_log
SIM-1-CR-PROBES-META	Tattoo Similarity – cropped probe images, small gallery, with metadata	SIM-1-CR-PROBES-META_{n}.candidate_lists	SIM-1-CR-PROBES-META_{n}.error_log
SIM-2-CR-PROBES-META	Tattoo Similarity – cropped probe images, larger gallery, with metadata	SIM-2-CR-PROBES-META_{n}.candidate_lists	SIM-2-CR-PROBES-META_{n}.error_log
ID-1-META	Tattoo Identification - small gallery, with metadata	ID-1-META_{n}.candidate_lists	ID-1-META_{n}.error_log
ID-2-META	Tattoo Identification - larger gallery, with metadata	ID-2-META_{n}.candidate_lists	ID-2-META_{n}.error_log
ROI-1-META	Region of Interest - small gallery, with metadata	ROI-1-META_{n}.candidate_lists	ROI-1-META_{n}.error_log
ROI-2-META	Region of Interest - larger gallery, with metadata	ROI-2-META_{n}.candidate_lists	ROI-2-META.error_log
MM-1-META	Mixed Media - small gallery, with metadata	MM-1-META_{n}.candidate_lists	MM-1-META_{n}.error_log
MM-2-META	Mixed Media - larger gallery, with metadata	MM-2-META_{n}.candidate_lists	MM-2-META_{n}.error_log

9. Metrics

This section describes some of the metrics used for measuring match and classification performance. NIST will extend the analysis with other metrics and in response to participant-submitted results. Sample R code for generation of the metrics described in this section is maintained and available for download from the Tatt-C website: http://www.nist.gov/itl/iad/ig/tatt-c.cfm. The sample R code takes an output file (i.e. candidate list or classification list) in the specified format and a ground truth file and generates the metrics described in this section.

9.1 Cumulative Match Characteristic (CMC)

Table 10 – CMC Definition

Use cases	Metric		
Tattoo Identification, Region of Interest	CMC	=	The probability that one or more correct matching image for a probe is observed within the top K ranks.

9.2 Precision and Recall

Table 11 – Confusion Matrix

		Actual	
		Positives	Negatives
Predicted	Positives	**TP (True Positive)** # of relevant images that are correctly retrieved	**FP (False Positive)** # of relevant images that are not retrieved

		FN (False Negative) # irrelevant images that are falsely retrieved	TN (True Negative) # of irrelevant images that are correctly not retrieved
	Negatives		

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272   **Table 12 – Precision and Recall Definition**

Use cases	Metric		Definition
Tattoo Similarity, Mixed Media, Tattoo Detection	Precision = The fraction of retrieved images that are truly relevant		TP
			(TP + FP)
	Recall = The fraction of relevant images that are actually retrieved		TP
			(TP + FN)

273   Note: NIST will consider both rank and threshold-based definitions of precision and recall.

9.2.1   Mean Average Precision (MAP)

The Average Precision is a single-valued measure that reflects the performance over all relevant images. It is the average of the precision value obtained after each relevant image is retrieved. (When a relevant image is not retrieved at all, its precision is assumed to be 0). The Mean Average Precision across the total number of probes is computed by taking the mean of the average precisions for each probe in the run.

9.2.2   R-Precision

R-Precision is the precision after R images have been retrieved, where R is the number of relevant images for the probe. The average R-Precision across the total number of probes is computed by taking the mean of the R-Precisions for each probe in the run.

10. Ground truth integrity

The Tatt-C dataset ground-truth was established via manual relevance assessments created by human examiners following a specific protocol and may thus be subject to human bias. Every effort was made to ensure the data is correct for the specified intents of this challenge activity, but a small number of errors may still exist. Please report any suspected ground truth errors to tattoo@nist.gov.

11. Results submission to NIST

Output files should be archived with a utility such as tar or zip prior to submission. Participants can send their results and any other supporting documentation to NIST via email to tattoo@nist.gov or put the results onto electronic media (e.g., CD, USB drive) and send by mail to

                          Tatt-C Liaison
                          National Institute of Standards and Technology
                          Information Access Division (894)
                          100 Bureau Drive, Stop 8940
                          Gaithersburg, MD 20899-8940

A. Appendix A – ANSI/NIST-ITL 1-2011 Type 10 Field Codes

A.1 Tattoo Classes and Subclasses

Class Code	Subclass Description	Subclass Code
OBJECT	Fire	FIRE
	Weapons (Guns, Arrows, etc.)	WEAP
	Airplanes and other Air vehicles (incl. Blimps)	PLANE
	Boats, Ships, & Other Water Vessels	VESSEL
	Trains	TRAIN
	Cars, Trucks, and other Land Vehicles (except Trains)	VEHICLE
	Mythical (Unicorns, etc.)	MYTH
	Sporting Objects (Football, Ski, Hurdles, etc.)	SPORT
	Water & Nature Scenes (Rivers, Sky, Trees, etc.)	NATURE
	Miscellaneous Objects	MOBJECTS

Class Code	Subclass Description	Subclass Code
ABSTRACT	Figure(s)	FIGURE
	Sleeve	SLEEVE
	Bracelet	BRACE
	Anklet	ANKLET
	Necklace	NECKLC
	Shirt	SHIRT
	Body Band	BODBND
	Head Band	HEDBND
	Miscellaneous Abstract	MABSTRACT

Class Code	Subclass Description	Subclass Code
SYMBOL	National Symbols	NATION
	Political Symbols	POLITIC
	Military Symbols	MILITARY
	Fraternal Symbols	FRATERNAL
	Professional Symbols	PROFESS
	Gang Symbols	GANG
	Miscellaneous Symbols	MSYMBOLS

Class Code	Subclass Description	Subclass Code
OTHER	Wording (Mom, Dad, Mary, etc.)	WORDING
	Freeform Drawings	FREEFRM
	Miscellaneous Images	MISC

Class Code	Subclass Description	Subclass Code
HUMAN	Male Face	MFACE
	Female Face	FFACE
	Abstract Face	ABFACE
	Male Body	MBODY
	Female Body	FBODY
	Abstract Body	ABBODY
	Roles (Knight, Witch, man, etc.)	ROLES
	Sports Figures (Football Player, Skier, etc.)	SPORT
	Male Body Parts	MBPART
	Female Body Parts	FBPART
	Abstract Body Parts	ABBPART
	Miscellaneous Human Forms	MHUMAN
	Skulls	SKULL

Class Code	Subclass Description	Subclass Code
ANIMAL	Cats & Cat Heads	CAT
	Dogs & Dog Heads	DOG
	Other Domestic Animals	DOMESTIC
	Vicious Animals (Lions, etc.)	VICIOUS
	Horses (Donkeys, Mules, etc.)	HORSE
	Other Wild Animals	WILD
	Snakes	SNAKE
	Dragons	DRAGON
	Birds (Cardinal, Hawk, etc.)	BIRD
	Spiders, Bugs, and Insects	INSECT
	Abstract Animals	ABSTRACT
	Animal Parts	PARTS
	Miscellaneous Animal Forms	MANIMAL

Class Code	Subclass Description	Subclass Code
PLANT	Narcotics	NARCOTICS
	Red Flowers	REDFL
	Blue Flowers	BLUEFL
	Yellow Flowers	YELFL
	Drawings of Flowers	DRAW
	Rose	ROSE
	Tulip	TULIP
	Lily	LILY
	Misc. Plants, Flowers, Vegetables.	MPLANT

Class Code	Subclass Description	Subclass Code
FLAG	American Flag	USA
	State Flag	STATE
	Nazi Flag	NAZI
	Confederate Flag	CONFED
	British Flag	BRIT
	Miscellaneous Flags	MFLAG

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A.2 Tattoo Color Codes

Color Description	Color code		Color Description	Color code
Black	BLACK		Purple	PURPLE
Brown	BROWN		Red	RED
Gray	GRAY		Yellow	YELLOW
Blue	BLUE		White	WHITE
Green	GREEN		Multi-colored	MULTI
Orange	ORANGE		Outlined	OUTLINE

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B. Appendix B – National Crime Information Center (NCIC) Codes

B.1 NCIC SMT Body Location Codes

Item/Location	Code				
Abdomen	TAT ABDOM	Ear, nonspecific	TAT EAR	Hip, left	TAT L HIP
Ankle, left	TAT L ANKL	Ear, right	TAT R EAR	Hip, nonspecific	TAT HIP
Ankle, nonspecific	TAT ANKL	Elbow, left	TAT LELBOW	Hip, right	TAT R HIP
Ankle, right	TAT R ANKL	Elbow, nonspecific	TAT ELBOW	Knee, left	TAT L KNEE
Arm, left	TAT L ARM	Elbow, right	TAT RELBOW	Knee, nonspecific	TAT KNEE
Arm, left upper	TAT UL ARM	Eye, left	TAT L EYE	Knee, right	TAT R KNEE
Arm, nonspecific	TAT ARM	Eye, nonspecific	TAT EYE	Leg, left	TAT L LEG
Arm, right	TAT R ARM	Eye, right	TAT R EYE	Leg, nonspecific	TAT LEG
Arm, right upper	TAT UR ARM	Face, nonspecific	TAT FACE	Leg, right	TAT R LEG
Back	TAT BACK	Finger(s), left hand	TAT L FGR	Lip, lower	TAT LW LIP
Breast, left	TAT L BRST	Finger(s), right hand	TAT R FGR	Lip, nonspecific	TAT LIP
Breast, nonspecific	TAT BREAST	Finger, nonspecific	TAT FNGR	Lip, upper	TAT UP LIP
Breast, right	TAT R BRST	Foot, left	TAT L FOOT	Neck	TAT NECK
Buttock, left	TAT L BUTK	Foot, nonspecific	TAT FOOT	Nose	TAT NOSE
Buttock, right	TAT R BUTK	Foot, right	TAT R FOOT	Penis	TAT PENIS
Buttocks, nonspecific	TAT BUTTK	Forearm, left	TAT LF ARM	Shoulder, left	TAT L SHLD
Calf, left	TAT L CALF	Forearm, nonspecific	TAT FARM	Shoulder, nonspecific	TAT SHLD
Calf, nonspecific	TAT CALF	Forearm, right	TAT RF ARM	Shoulder, right	TAT R SHLD
Calf, right	TAT R CALF	Forehead	TAT FHD	Thigh, left	TAT L THGH
Cheek (face), left	TAT L CHK	Full body (used when arms, legs, chest, and back are covered with tattoos)	TAT FLBODY	Thigh, nonspecific	TAT THGH
Cheek (face), nonspecific	TAT CHEEK	Groin area	TAT GROIN	Thigh, right	TAT R THGH
Cheek (face), right	TAT R CHK	Hand, left	TAT L HND	Wrist, left	TAT L WRS
Chest	TAT CHEST	Hand, nonspecific	TAT HAND	Wrist, nonspecific	TAT WRS
Chin	TAT CHIN	Hand, right	TAT R HND	Wrist, right	TAT R WRS
Ear, left	TAT L EAR	Head, nonspecific (use the MIS Field to further describe location)	TAT HEAD		